

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Yeon-Joo KIM

Examiner: Lerner, Martin

Serial No.:

09/651,648

Group: Art Unit 2654

Filed:

August 30, 2000

Dated: April 19, 2004

For:

APPARATUS AND METHOD FOR VOICE RECOGNITION

AND DISPLAYING OF CHARACTERS IN MOBILE

TELECOMMUNICATION SYSTEM

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 APR 2 3 2004

Technology Center 2600

## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- [] A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

| For   | Claims Remaining<br>After Amendment | Highest No.<br>Previously<br>Paid For | Present<br>Extra | Rate<br>(Small<br>Entity) | Addit.<br>Fee | Rate   | Addit.<br>Fee |
|---|-------------------------------------|---------------------------------------|------------------|---------------------------|---------------|--------|---------------|
| TOTAL CLAIMS  | 20                                  | 20                                    | 0                | x 9 =                     | \$0           | x 18 = | \$0           |
| INDEPENDENT<br>CLAIMS                                 | 6                                   | 6                                     | 0                | x 43 =                    | \$0           | x 86 = | \$0           |
| [] First<br>Presentation of<br>Multiple Dep.<br>Claim |                                     |                                       |                  | 145                       |               | 290    | \$0           |

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE

I hereby certify that this correspondence is being dy Postal Service as first class mail, postpaid in an envelope addressed to the: Commissioner for Patents, F

Dated: April 19, 2004

- [] Please charge Deposit Account No. <u>04-1121</u> in the amount of \$\_\_\_. Two (2) copies of this sheet are enclosed.
- [] A check in the amount of <u>\$</u> is enclosed.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. A DUPLICATE OF THIS SHEET IS ENCLOSED.

Respectfully submitted,

Paul J. Farrell Reg No. 33,494

Attorney for Applicant(s)

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TO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032

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## FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

(\$) 110.00

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

| Complete if Known    |                 |  |  |  |  |  |
|----------------------|-----------------|--|--|--|--|--|
| Application Number   | 09/651,648      |  |  |  |  |  |
| Filing Date          | August 30, 2000 |  |  |  |  |  |
| First Named Inventor | Yeon-Joo KIM    |  |  |  |  |  |
| Examiner Name        | Lerner, Martin  |  |  |  |  |  |
| Art Unit             | RECEIVE:        |  |  |  |  |  |
| Attorney Docket No.  | 678-527 (P9546) |  |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continuAB)R 2 3 ZUU4 |   |             |          |  |             |
|---|---------------------------------------|---|-------------|----------|--|-------------|
| Check Credit card Money Other None  |                                       | 3. ADDITIONAL FEES Large Entity   Small Entity Tech |             |          | Tachnology   | Center 26   |
| Deposit Account:  |                                       |   |             | Fee      |  |             |
| Deposit Account 04-1121   | Fee<br>Code                           | Fee<br>(\$)   | Fee<br>Code | (\$)     | Fee Description  | Fee Paid    |
| Account 04-1121<br>Number   | 1051                                  | 130   | 2051        | 65       | Surcharge - late filing fee or oath  |             |
| Deposit<br>Account Dilworth & Barrese LLP   | 1052                                  | 50  | 2052        | 25       | Surcharge - late provisional filing fee or cover sheet                     |             |
| Name The Commissioner is authorized to: (check all that apply)  | 1053                                  | 130   | 1053        | 130      | Non-English specification  | I           |
| Charge fee(s) indicated below Credit any overpayments   |                                       | 2,520   | 1812        | 2,520    | For filing a request for ex parte reexamination                            | <u> </u>    |
| Charge any additional fee(s) during the pendency of this application                                  | 1804                                  | 920*  | 1804        | 920*     | Requesting publication of SIR prior to<br>Examiner action                  | ]           |
| Charge fee(s) indicated below, except for the filing fee  |                                       | 1,840*  | 1805        | 1,840*   |  |             |
| to the above-identified deposit account.  | ]                                     | 1,040   |             | .,540    | Examiner action  |             |
| FEE CALCULATION   | 1251                                  | 110   | 2251        | 55       | Extension for reply within first month                                     | 110         |
| 1. BASIC FILING FEE   | 1252                                  | 420   | 2252        | 210      | Extension for reply within second month                                    |             |
| Large Entity Small Entity   | 1253                                  | 950   | 2253        | 475      | Extension for reply within third month                                     | <b>├</b> ── |
| Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)  | 1254                                  | 1,480   | 2254        | 740      | Extension for reply within fourth month                                    | ļ I         |
| 1001 770 2001 385 Utility filing fee  | 1255                                  | 2,010   | 2255        | 1,005    | Extension for reply within fifth month                                     | ├ I         |
| 1002 340 2002 170 Design filing fee   | 1401                                  | 330   | 2401        | 165      | Notice of Appeal   | I           |
| 1003 530 2003 265 Plant filing fee  | 1402                                  | 330   | 2402        | 165      | Filing a brief in support of an appeal                                     | <u> </u>    |
| 1004 770 2004 385 Reissue filing fee  | 1403                                  | 290   | 2403        | 145      | Request for oral hearing   | I           |
| 1005 160 2005 80 Provisional filing fee   | 1451                                  | 1,510   | 1451        | 1,510    | Petition to institute a public use proceeding                              |             |
| SUBTOTAL (1) (\$) 0.00  | 1452                                  | 110   | 2452        | 55       | Petition to revive - unavoidable   |             |
|   | 1453                                  | 1,330   | 2453        | 665      | Petition to revive - unintentional   |             |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   | 1001                                  | 1,330   | 2501        | 665      | Utility issue fee (or reissue)   |             |
| Extra Claims below Fee Paid   | 1502                                  | 480   | 2502        | 240      | Design issue fee   |             |
| Total Claims  | 1503                                  | 640   | 2503        | 320      | Plant issue fee  |             |
| Claims ^  | 1460                                  | 130   | 1460        | 130      | Petitions to the Commissioner  |             |
| Multiple Dependent  | 1807                                  | 50  | 1807        | 50       | Processing fee under 37 CFR 1.17(q)  |             |
| Large Entity   Small Entity Fee Fee Fee Fee Fee Description   | 1806                                  | 180   | 1806        | 180      | Submission of Information Disclosure Stmt                                  | I           |
| Fee Fee Fee <u>Fee Description</u> Code (\$) Code (\$)  | 8021                                  | 40  | 8021        | 40       | Recording each patent assignment per property (times number of properties) | <b> </b>    |
| 1202 18 2202 9 Claims in excess of 20   | 1809                                  | 770   | 2809        | 385      | Filing a submission after final rejection                                  |             |
| 1201 86 2201 43 Independent claims in excess of 3   |                                       |   |             |          | (37 CFR 1.129(a))  | <u> </u>    |
| 1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims | 1810                                  | 770   | 2810        | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| over original patent  | 1801                                  | 770   | 2801        | 385      | Request for Continued Examination (RCE)                                    | <b> </b>    |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent                             | 1802                                  | 900   | 1802        | 900      | Request for expedited examination of a design application                  |             |
| SUBTOTAL (2) (\$) 0.00  | Other                                 | fee (sp   | ecify)      |          |  |             |
| SUBTOTAL (2) (\$) U.UU  **or number previously paid, if greater; For Reissues, see above              | *Red                                  | uced by   | Basic       | Filing F | Fee Paid SUBTOTAL (3) (\$) 110   | 0.00        |
| CHENTED BY  |                                       |   |             |          | (Complete /if analisable)  |             |

| SUBMITTED BY      |                |                                   |        |           | (Complete (if applicable) |  |  |
|-------------------|----------------|-----------------------------------|--------|-----------|---------------------------|--|--|
| Name (Print/Type) | Payl J Martell | Registration No. (Attorney/Agent) | 33,494 | Telephone | (516) 228-8484            |  |  |
| Signature         | Sould faull    |                                   |        | Date      | April 19, 2004            |  |  |

CERTIFICATION UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop \_\_\_\_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 19, 2004

(Name) Paul J. Farrell